

DT06 Rec'd PCT/PTO 0 3 OCT 2002

Dkt. 57746-A-PCT-US/JPW/FHB

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Mohammad R. Marzabadi et al.

U.S. Serial No.: 10/019,881

International

Filing Date : April 21, 2000

For : SELECTIVE NPY(Y5) ANTAGONISTS

1185 Avenue of the Americas New York, New York 10036

September 26, 2002

Assistant Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, D.C. 20231

Sir:

COMMUNICATION TO CORRECT ERROR IN FILING RECEIPT

This Communication is filed to request the issuance of a corrected Filing Receipt in connection with the above-identified application. Upon receipt of the official Filing Receipt for the subject application, a copy of which is attached hereto as **Exhibit A**, applicants' undersigned attorney noticed an error.

Specifically, the sixth-named inventor's residence is incorrectly listed.

A corrected Filing Receipt should read as follows:

--Applicant(s)

Mohammad R. Marzabadi, Ridgewood, NJ;
Wai C. Wong, Hamden, CT;
Stewart A. Noble, Lake Forest, IL;
Peter Bulmayer, Arlsheim, SWITZERLAND;
Heinrich Rueger, Fluh, SWITZERLAND;
Yasuchika Yamaguchi, Guilford, CT;
Walter Schilling, Himmelried, SWITZERLAND;--

Applicants

Mohammad R. Marzabadi et al.

U.S. Serial No.:

:

10/019,881

International

Filing Date

April 21, 2000

Page 2

Applicants contend that the correct data may be found in the Declaration and Power of Attorney filed April 8, 2002 in connection with the subject application. A copy of Declaration and Power of Attorney is attached hereto as Exhibit Accordingly, applicants request that a corrected Filing Receipt be issued.

No fee is deemed necessary in connection with the filing of this Communication. However, if any fee is required, authorization is hereby given to charge the amount of any such fee to Deposit Account 03-3125.

Respectfully submitted,

I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Office of Initial Patent Examination Customer Service Center Washington, D.C. 20231.

John P. White Reg. No. 28,678

John R.

White Registration No. 28,678 Attorney for Applicants Cooper & Dunham LLP 1185 Avenue of the Americas New York, New York 10036 (212) 278-0400





NOV 0 8 2002 Page 1 of 2 TECH CENTER 1600/2900

Inited States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER **FILING DATE GRP ART UNIT** FIL FEE REC'D ATTY.DOCKET.NO DRAWINGS TOT CLAIMS IND CLAIMS 57746-A-7 3

10/019,881 \

04/16/2002

1614

510

PCT-US/JPW/FHB

CONFIRMATION NO. 8075 FILING RECEIPT

OC000000008129887

Date Mailed: 05/20/2002

John P White Cooper & Dunham 1185 Avenue of the Americas New York, NY 10036

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Deflates

Applicant(s)

Mohammad R Marzabadi, Ridgewood, NJ: Wai C Wong, Hamden, CT; Stewart A Noble, Lake Forest, IL; -Peter-Buhlmayer, Arlsheim, SWITZERLAND; Heinrich Rueger, Fluh, SWITZERLAND; Yasuchika Yamaguchi, La Jolla, SWITZERLAND; Walter Schilling, Himmelried, SWITZERLAND;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/US00/11004 04/21/2000 WHICH IS A CIP OF 09/343,635 06/30/1999 PAT 6,225,330 AND IS A CIP OF 09/343,633 06/30/1999 PAT 6,214,853 AND IS A CIP OF 09/343,993 06/30/1999 PAT 6,222,040

Foreign Applications

Projected Publication Date: Not Applicable, filed prior to November 29,2000

Non-Publication Request: No

Early Publication Request: No



Selective npy (y5) antagonists

Preliminary Class

514

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

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NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



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WASHINGTON, D.C. 20231

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APPLICATION NUMBER FILING DATE GRP ART UNIT FIL FEE REC'D ATTY.DOCKET.NO DRAWINGS TOT CLAIMS IND CLAIMS

57746-A-

10/019,881 √ 04/16/2002 1614 510 PCT-US/JPW/FHB

John P White Cooper & Dunham 1185 Avenue of the Americas

New York, NY 10036

MAY 2 0 2002

CONFIRMATION NO. 8075

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FILING RECEIPT

OC00000008129887

Date Mailed: 05/20/2002

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Applicant(s)

Mohammad R Marzabadi, Ridgewood, NJ;
Wai C Wong, Hamden, CT;
Stewart A Noble, Lake Forest, IL;
—Peter-Buhlmayer,-Arlsheim,-SWITZERLAND;
Heinrich Rueger, Fluh, SWITZERLAND;
Yasuchika Yamaguchi, La Jolla, SWITZERLAND;
Walter Schilling, Himmelried, SWITZERLAND;

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Non-Publication Request: No

Early Publication Request: No

Title

Selective npy (y5) antagonists

Preliminary Class

514

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GRANTED

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This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export_Administration,_Department of_Commerce (15 CFR 370.10 (j)); the Office_of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

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DECLARATION AND POWER OF ATTORNEY

My residence, post office address, and citizenship are as stated below next to my name.

As a below-named inventor, I hereby declare that:

a patent is sough	t on the invention ent	isied below) of the subject ma it led :	uer which is clo	nimed and for whic
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the specification of (check one)	f which:			
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I hereby claim foreign 365(b) of any foreign International Application. I have also in	entability as defined of priority benefits und gn application(s) for ation which designa dentified below any fo	in Title 37. Code of Federal	Regulations, S de, Section 119 ale, or Section ter than the U t or inventor's	ection 1.56. P (a)-(d) or Section 365(a) of any PC inited States, liste certificate, or PC
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I hereby claim foreign 365(b) of any foreign International Applications. I have also is International Applications claimed: Prior Foreign Applications is claimed:	tentability as defined a priority benefits under an application(s) for an application (s) for an application which designs dentified below any faition having a filing a ation(s) Country	in Title 37. Code of Federal er Title 35. United States Copatent or inventor's certificated at least one country other application for paten late before that of the earlies Filing Date	Regulations, S de, Section 119 ale, or Section her than the U t or inventor's t application f Priorit	ection 1.56. (a)-(d) or Section 365(a) of any PC inited States, liste certificate, or PC from which prioricy (y Claimed
I hereby claim foreign 365(b) of any foreign International Applications. I have also is International Applications claimed: Prior Foreign Applications is claimed:	tentability as defined a priority benefits under an application(s) for an application (s) for an application which designs dentified below any faition having a filing a ation(s) Country	in Title 37. Code of Federal er Title 35. United States Copatent or inventor's certificated at least one country other application for paten late before that of the earlies Filing Date	Regulations, S de, Section 119 ale, or Section her than the U t or inventor's t application f Priorit	ection 1.56. (a)-(d) or Section 365(a) of any PC inited States, liste certificate, or PC from which prioricy (y Claimed
I hereby claim foreign 365(b) of any foreign International Application of the International Inte	tentability as defined a priority benefits under an application(s) for an application (s) for an application which designs dentified below any faition having a filing a ation(s) Country	in Title 37. Code of Federal er Title 35. United States Co patent or inventor's certifica ted at least one country oth oreign application for paten late before that of the earlies Filing Date 21 April 2000	Regulations, S de, Section 119 ale, or Section the User inventor's a application f Priorit Yes X	ection 1.56. (a)-(d) or Secti 365(a) of any P(inited States, list certificate, or P(from which prior ty Claimed

Declaration and	Power	OJ .	Allomey
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I hereby claim the benefit under Title 35. United States Code. Section 119(e) of any United States provisional application(s) listed below:

Provisional Application No.	Filing Date	Storus
N/A		
		
		

I hereby claim the benefit under Title 35. United States Code, Section 120 of any United States Application(s), or Section 365(c) of any PCT International Application(s) designating the United States listed below. Insofar as this application discloses and claims subject matter in addition to that disclosed in any such prior Application in the manner provided by the first paragraph of Title 35. United States Code, Section 112, I acknowledge the duty to disclose to the United States Patene and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56, which became available between the filing date(s) of such prior Application(s) and the national or PCT international filing date of this application:

Application Serial No.	Filing Date	Storus
09/343,635	June 30, 1999	Issued
09/343,633	June 30, 1999	Issued
09/343,993	June 30, 1999	Issued

And I hereby appoint

John P. White (Reg. No. 28,678); Christopher C. Dunham (Reg. No. 22,031); Norman H. Zivin (Reg. No. 25,385); Jay H. Maioli (Reg. No. 27,213); William E. Pelton (Reg. No. 25,702); Robert D. Katz (Reg. No. 30,141); Peter J. Phillips (Reg. No. 29,691) Wendy E. Miller (Reg. No. 35,615); Richard S. Milner (Reg. No. 33,970); Robert T. Maldonado (Reg. No.38,232); Paul Teng (Reg. No. 40,837); Richard F. Jaworski (Reg. No. 33,515); Pedro C. Fernandez (Reg. No. 41,741); Gary J. Gershik (Reg. No. 39,992); Spencer H. Schneider (Reg. No. 45,923); Alan J. Morrison (Reg. No. 37,399); Alan D. Miller (Reg. No. 42,889); and Frank Bruno (Reg. No. 46,583)

and each of them, all co Cooper & Dunham LLP, 1185 Avenue of the Americas, New York, New York 10036, my attorneys, each with full power of substitution and revocation, to prosecute this application, to make alterations and amendments therein, to receive the patent, to transact all business in the Patent and Trademark Office connected therewith and to file any International Applications which are based thereon under the provisions of the Patent Cooperation Treaty.

Declaration and Power of Allorney		4	Page 3
Please address all communications, and direct all telepho	ne calls.	regarding this application to	ro:
John P. White	Reg. No	28,678	
Cooper & Dunham LLP 1185 Avenue of the Americas New York, New York 10036 Tel. (212) 278-0400		'	
I hereby declare that all statements made herein of my ow made on information and belief are believed to be true; and the knowledge that willful false statements and the like so n or both, under Section 1001 of Title 18 of the United State may jeopardize the validity of the application or any pater	further to nade are es Code a	hai ihese siaiemenis were m punishable by fine or impri and ihai such wiilful false si	iad e with Sonment,
Full name of sole or first joint inventor Mohammad R. Marzabadi			
Inventor's signature Mahammere (1. May	uliaei	li	
Inventor's signature Mohammer (1. May Citizenship United States of America Date of si	gnatu re_	01/02/2002	
Residence 153 Woodland Avenue, Ridgewood, No.	ew Jers	sey 07450	
Post Office Address same as residence address			
			
Full name of joint inventor (if any) Wai C. Wong			
Inventor's signature			
Citizenship United States of America Date of s	ignatu re		
Residence 314 Aspen Glen Drive, Hamden, Con			
Post Office Address same as residence address			
		· · · · · · · · · · · · · · · · · · ·	
Full name of joint Stewart A. Noble inventor (if any)		· · · · · · · · · · · · · · · · · · ·	
Inventor's signature			
Cinzenship Great Britain Date of st	ignotu re		

Residence 75 West Honeysuckle Road, Lake Forest, Illinois 60045

Post Office Address same as residence address

Declaration and Power of Attorney		Page 3
Please address all communications, and direct all te	lephone calls.	regarding this application to:
John P. White	Rep. No.	28,678
Cooper & Dunham LLP 1185 Avenue of the Americas New York, New York 10036 Tel. (212) 278-0400		
I hereby declare that all statements made herein of made on information and belief are believed to be true; the knowledge that willful false statements and the like or both, under Section 1001 of Title 18 of the United may jeopardize the validity of the application or any	; and further t e so made are States Code (hai ihese sialements were made with punishable by fine or imprisonment, and ihai such wiilful false sialements
Full name of sole or first joint inventor Mohammad R. Marzabadi	-	
Inventor's signature		
Citizenship United States of America Date	of signature	_
Residence 153 Woodland Avenue, Ridgewood	l, New Jer	sey 07450
Post Office Address same as residence addre	ess	
Full name of joint inventor (if any) Wai C. Wong		
Was C Wo	79	
Citizenship United States of America Date 21/ Appen Clar Priva Handan	e of signature	January 2, 2002
Residence 314 Aspen Glen Drive, Hamden,	Connectic	ut 06518
Post Office Address same as residence addre	ess	
	÷	<u> </u>
Full name of joint Stewart A. Noble inventor (if any)		
Inventor's signature		
Cinzenship Great Britain Date	of signature	
Residence 75 West Honeysuckle Road, Lake	Forest, 1	llinois 60045
Post Office Address same as residence address	ss	

John P. White	Reg. No
Cooper & Dunham LLP 1185 Avenue of the Americas New York, New York 10036 Tel. (212) 278-0400	
made on information and belief are believed	e herein of my own knowledge are true and that all statements d to be true: and further that these statements were made with and the like so made are punishable by fine or imprisonment, fine United States Code and that such willful false statements trion or any patent issued thereon.
Full name of sole or first joint inventor Mohammad R. A	Marzabadi
Inventor's signature	
Citizenship United States of Amer	rica Date of signature
Residence 153 Woodland Avenue, I	Ridgewood, New Jersey 07450
Post Office Address same as residen	nce address
	•
Full name of joint inventor (if any) Wai C. Wong	
Inventor's signature	
Citizenship United States of Amer:	ica Date of signature
Residence 314 Aspen Glen Drive,	Hamden, Connecticut 06518
Post Office Address same as resider	

Full name of joint inventor (if any) Stewart A. Noble

Inventor's signature Stewart A. Noble

Cirizenship Great Britain Date of signature 12 28 01

Residence 75 West Honeysuckle Road, Lake Forest, Illinois 60045

Post Office Address same as residence address

Declaration and Power of Attor	· · · · · · · · · · · · · · · · · · ·	Page 4
Full name of joins inventor (if any) Peter Bu	nhlmayer	
Inventor's signature Lea	Salling	
Citizenship Switzerland	Date of signature March 1.	3,2002
Residence Hangstrasse 18	, CH 4144 Arlsheim, Swizterland	
Post Office Address same as	s residence address	
		,
Full name of joint inventor (if any) Heinrich Ru	ueger	
Inventor's signature //e/	and Ruger	
Citizenship Switzerland	Date of signature 13. 3	· 2002
Residence Alemanneweg 6,	CH 4112 Fluh, Switzerland	
Post Office Address same as	residence address	
The state of the s		
Full name of joint inventor (if any) <u>Yasuchika</u> Y	Yamaguchi	·
nventor's signature		
Citizenship_Japan	Date of signature	
	e #10, La Jolla, California 92037	

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Declaration and Power of Attorney	Page 4
Full name of joint inventor (if any) Peter Buhlmayer	
Inventor's signature	
Citizenship Switzerland Doie of signoture	
Residence Hangstrasse 18, CH 4144 Arlsheim, Swizterland	
Post Office Address same as residence address	
Full name of joint inventor (if any) Heinrich Rueger	
Inventor's signature	
Cirizenship Switzerland Doie of signature	·
Residence Alemanneweg 6, CH 4112 Fluh, Switzerland	
Post Office Address same as residence address	
· ·	
Full name of joint inwentor (if any) Yasuchika Yamaguchi	
Invenior's signature / a fa facing	·
Citizenship Japan Dove of signature 2nd for Dove	202
Residence 70 Point Arrowhead Road, Guilford, Connecticut 06437,	USA
Posi Office Address same as residence address	<u> </u>

Declaration and Po	wer-of Allorney		Page
Full name of joint inventor (if any)	Walter Schilling	5	
Inventor's signature_	halte	5 Wille	ng Rasch 13, 200
Citizenship Switz	zerland	Date of signature	Resch 13, 200
Residence Im Musp	oenacker 204, CH	4204 Himmelried, Sv	vitzerland
Post Office Address_	same as residenc	e address	·
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Full name of joint inventor (if any)			
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Residence		•	
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Full name of joint			
-			
Citizenship		Date of signature	
Residence			
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